

only one glass



Europäisches Patentamt  
European Patent Office  
Office européen des brevets

(11) Publication number :

**0 186 679  
B1**

(12)

## EUROPEAN PATENT SPECIFICATION

(45) Date of publication of patent specification :  
27.07.88

(51) Int. Cl.<sup>4</sup> : **C 03 B 37/14, C 03 C 13/04,  
G 02 B 6/10**

(21) Application number : 85902591.8

(22) Date of filing : 24.05.85

(86) International application number :  
PCT/GB 85/00223

(87) International publication number :  
WO/8505350 (05.12.85 Gazette 85/26)

(54) **MIDDLE INFRA-RED HOLLOW OPTICAL FIBRES.**

(30) Priority : 24.05.84 GB 8413276  
05.06.84 GB 8414264

(43) Date of publication of application :  
09.07.86 Bulletin 86/28

(45) Publication of the grant of the patent :  
27.07.88 Bulletin 88/30

(84) Designated contracting states :  
AT BE CH DE FR IT LI LU NL SE

(56) References cited :  
US-A- 3 589 878  
US-A- 3 881 902  
US-A- 4 067 709  
US-A- 4 209 229  
US-A- 4 453 803

(73) Proprietor : **COGENT LIMITED**  
Temple Court 11 Queen Victoria Street  
London, EC4N 4TP (GB)

(72) Inventor : **WORRELL, Clive, Anthony**  
64 Holmcroft Southgate  
Crawley Sussex RH10 6 (GB)

(74) Representative : **Calderbank, Thomas Roger et al**  
**MEWBURN ELLIS & CO.** 2/3 Cursitor Street  
London EC4A 1BQ (GB)

**EP 0 186 679 B1**

Note : Within nine months from the publication of the mention of the grant of the European patent, any person may give notice to the European Patent Office of opposition to the European patent granted. Notice of opposition shall be filed in a written reasoned statement. It shall not be deemed to have been filed until the opposition fee has been paid (Art. 99(1) European patent convention).

### Description

This invention relates to hollow optical fibres for low loss transmission of high energy radiation in the mid infra-red region, and is particularly concerned with such a fibre for transmitting energy from a carbon dioxide laser at a wavelength of 10.6 microns ( $\mu\text{m}$ ).

Although in recent years comparatively cheap and compact high powered gas lasers such as the  $\text{CO}_2$  laser have become readily available, their application, particularly in the fields of medicine, communications, and industrial engineering, has been restricted by the absence of a suitable flexible transmission system. For example, the properties of laser energy at wavelengths in the region of 10.6  $\mu\text{m}$  in relation to biological tissue make the  $\text{CO}_2$  laser potentially very useful medically, particularly in the surgical field where the laser enables very precise and well controlled incisions to be made. However, the absence of a compact, flexible and manoeuvrable low loss transmission system has limited the surgical use of the  $\text{CO}_2$  laser mainly to direct line of sight operations. Some endoscopic surgery has been carried out using an articulated arm with mirrors mounted on mechanical joints, but this system is very restrictive and unwieldy. Clearly the provision of a flexible optical fibre suitable for surgical use and capable of low loss transmission of  $\text{CO}_2$  laser energy would really enable the surgical potential of the  $\text{CO}_2$  laser to be properly realised.

Conventional optical fibres made of glass cannot be used since most glasses, in common with many other inorganic materials, exhibit strong absorption characteristics at mid infra-red frequencies due to resonance effects in the molecular structure, and are therefore substantially opaque to such radiation. Optical fibres which do transmit in the mid infra-red range have, however, been made from certain alkali halides, for example thallous-bromo-iodide, commonly known as KRS5, but it is very difficult and expensive to manufacture suitable fibres from these materials due to their crystalline nature and the high level of purity which is necessary to achieve good transmission. Furthermore, KRS5 is chemically toxic, has poor chemical stability in terms of moisture resistance, and thermally degrades at comparatively low temperatures, which does not render it very suitable for surgical use.

To overcome this problem it has been proposed to make a mid infra-red transmitting optical fibre in the form of a flexible hollow core waveguide so that relatively low loss transmission of radiation in the relevant frequency range can be effected through the hollow core of the fibre. Various proposals for such hollow core optical fibre waveguides have been made, such as hollow circular metallic waveguides, dielectric coated hollow metallic waveguides, and hollow glass waveguides, but it is to hollow optical fibre waveguides of the last type which the present invention particularly relates.

The operation of a hollow core glass fibre waveguide is based on the fact that the absorption and dispersion characteristics of an oxide glass at mid infra-red frequencies, referred to as anomalous dispersion effects, cause the real part ( $n$ ) of the complex refractive index ( $\hat{N} = n - iK$  where  $K$  is the extinction or loss coefficient and is related to the attenuation or absorption coefficient) of the glass to become less than unity over a range of wavelengths (e. g. 7.5 to 9  $\mu\text{m}$  for silica based glasses), with the result that in this range radiation incident from the core on the inner wall of the hollow fibre at an angle greater than the critical angle will be substantially totally internally reflected.

The transmission loss of such a hollow optical fibre waveguide at any given wavelength will of course depend on the fibre geometry and the surface quality of the inner wall of the fibre, but will also depend on the values of  $n$  and  $K$  at the said wavelength. A zero  $K$  value will give zero attenuation of the internally reflected radiation, but in practice this is virtually impossible to achieve since it is a relatively high value of  $K$  which gives rise to the refractive index  $n$  being less than 1 which in turn provides the total internal reflecting property of the fibre. For any given glass however, the maximum value of  $K$  is at a slightly longer wavelength than the minimum value of the refractive index, and generally speaking the minimum transmission loss of a hollow fibre waveguide made from the glass will be at a wavelength slightly shorter than the minimum value of the refractive index. Also, since these values will depend on the atomic mass and molecular structure of the glass, it follows that the nature of the glass from which the fibre is made will very much affect the performance (i.e. the transmission efficiency) of the fibre at a given wavelength.

It has been determined that the minimum refractive index of pure germanium dioxide ( $\text{GeO}_2$ ) glass is at a wavelength very close to the  $\text{CO}_2$  laser wavelength of 10.6  $\mu\text{m}$  ( $943\text{ cm}^{-1}$ ), and it is considered that  $\text{GeO}_2$  based glasses will enable hollow optical fibre waveguides to be produced having very low transmission loss values in the region of the  $\text{CO}_2$  laser wavelength. Indeed, a hollow optical fibre waveguide made from a glass consisting of 80 mol % germanium dioxide, 10 mol % zinc oxide and 10 mol % potassium oxide, and having its minimum transmission loss at  $940\text{ cm}^{-1}$ , is described in a paper by T. Hidaka et al published in The American Journal of Applied Physics, Vol. 53, 1982, pages 5484 to 5490.

With the aim of reducing the transmission loss of such hollow optical fibre waveguides still further, at least at the wavelengths of interest, according to the present invention, we propose a hollow optical fibre waveguide made from a germanium dioxide based glass characterised in that at least the inner surface of the hollow glass

fibre has been devitrified and/or provided with a thin germanium lining.

Devitrification of the glass, which may be carried out by heating the hollow fibre at a temperature between 400 and 1300 °C for a period up to 48 hours, changes it from a vitreous to a crystalline glass-ceramic state. The presence of long range translational symmetry in the crystalline glass-ceramic molecular structure gives rise to more localised and intense molecular and electronic transitions in the regions of the spectrum where the selection rules predict optical activity. This significantly affects the absorption and dispersion characteristics of the original glass and, in turn, gives rise to further reductions in the values of the refractive index ( $n$ ) and the extinction coefficient ( $K$ ), and hence the transmission loss at wavelengths in the region of interest, i.e. in the region of the CO<sub>2</sub> laser wavelength of 943 cm<sup>-1</sup>.

To assist the devitrification process, the glass composition may include at least one glass modifying and/or nucleating agent selected from the oxides of lead, titanium, phosphorus, cerium, zinc, lithium, sodium, potassium, calcium, zirconium, barium, aluminium, magnesium, antimony, bismuth, and arsenic.

Providing the hollow glass fibre waveguide with a thin inner lining of germanium will reduce the transmission loss of the hollow fibre, particularly the bending losses, in a manner similar to that predicted and observed for dielectric coated hollow metallic waveguides (described for example in a paper by M. Miyagi et al published on pages 430 to 432 of Applied Physics Letters, 43(5), September 1983). The lining should be sufficiently thin that the hollow fibre waveguide does not act optically as if it were made wholly of germanium, and a thickness of the order of 0.5 microns is considered suitable for the germanium layer.

The germanium layer at the inner surface of the hollow glass fibre waveguide may be formed very easily, simply by heating the fibre to a suitably high temperature while exposing at least the inner surface of the hollow fibre to a reducing atmosphere so that the germanium oxide of the glass is reduced to germanium at the inner surface of the fibre. The reducing atmosphere preferably contains hydrogen, and may comprise a mixture of 90 vol % nitrogen and 10 vol % hydrogen. Since it will not matter if the glass at the outer surface of the hollow optical fibre waveguide is reduced to germanium as well as the glass at the inner surface, the fibre may simply be placed in the reducing atmosphere while it is heated, but it may be preferable to pump the reducing atmosphere through the core of the hollow fibre while the fibre is heated, in order to ensure the production of a uniform germanium lining at the inner surface.

The temperature to which the hollow optical fibre is heated for the purpose of the reducing treatment is preferably between 400 and 850 °C, preferably 650 °C, and advantageously the reducing treatment may be effected simultaneously

with heat treatment to devitrify the inner surface of the hollow glass fibre or even the whole of the fibre.

Preferably the composition of the germanium dioxide based glass comprises not less than 80 wt. % germanium dioxide and not more than 20 wt. % lead oxide, preferably from 90 to 95 wt. % germanium dioxide and from 5 to 10 wt. % lead oxide, and possibly also at least one of the other modifying and/or nucleating agents mentioned earlier.

The invention will now be described further with reference to examples and the accompanying drawings, in which :

Figure 1 is a graph illustrating the measured variation of refractive index ( $n$ ) and extinction coefficient ( $K$ ) with wavelength in the mid infra-red region for vitreous germanium dioxide ;

Figure 2 is a graph similar to that of Figure 1 but illustrating the variation of the refractive index and the extinction coefficient for devitrified germanium dioxide ;

Figure 3 is a graph illustrating the variation in transmission with wavelength in the mid infra-red region predicted for a hollow optical fibre waveguide made of vitreous germanium dioxide and having a bore diameter of 0.5 mm ;

Figure 4 is a graph similar to that of Figure 3 but illustrating the variation in transmission predicted for the waveguide when made of devitrified germanium dioxide ; and,

Figure 5 is a graph illustrating the relative variation in transmission with wavelength in the mid infra-red region determined experimentally for (a) a hollow optical fibre waveguide having a bore of 0.5 mm and made of glass comprising 95 wt. % germanium dioxide and 5 wt. % lead oxide, and (b) the same hollow optical fibre waveguide after the glass has been devitrified.

As seen from Figure 1, the refractive index and extinction co-efficient for pure germanium dioxide glass are approximately 0.6 and 0.8 respectively in the region of the CO<sub>2</sub> laser wavelength of 10.6 microns (943 cm<sup>-1</sup>). As mentioned earlier, a refractive index of less than unity will make a hollow optical fibre made from the glass totally internally reflecting, and if further reductions in the refractive index can be made at the wavelengths of interest the transmission of the fibre may be improved. Figure 2 shows the measured values for the refractive index and the extinction coefficient at mid infra-red wavelengths for pure germanium dioxide glass which has undergone phase conversion from the vitreous state to the crystalline state, the refractive index and extinction coefficient being approximately 0.4 and 0.8 respectively at 943 cm<sup>-1</sup>.

To demonstrate the advantage of this phase conversion and the reduction in refractive index in the region of the CO<sub>2</sub> laser wavelength, the transmission for hollow optical fibre waveguides made of the materials of Figures 1 and 2 has been predicted using a ray optic calculation assuming a circular cross-section for the hollow fibre waveguide. The parameters required for this cal-

culatation are the refractive index, extinction coefficient, the internal diameter of the hollow fibre waveguide (0.5 mm has been assumed), and the wavelength. In Figure 3 the transmission (percent-  
ag transmission per metre) against wav l ngth  
predicted for the vitreous germanium dioxide  
hollow optical fibre is presented, and in Figure 4  
corresponding data is presented for a corre-  
sponding fibre in which the glass has been  
devitrified. At a wavelength of 10.6 microns (943  
cm<sup>-1</sup>) the transmission predicted for the ger-  
manium glass fibre is 68 %, compared with 77 %  
for the devitrified fibre. Furthermore, the maxi-  
mum transmission predicted for the vitreous fibre  
is 76 % at 925 cm<sup>-1</sup>, compared with 84 % for  
devitrified fibre at 972 cm<sup>-1</sup>. This wave number  
range is within the tunability of the CO<sub>2</sub> laser.

To further illustrate the benefits of the inven-  
tion, as an example, a glass composition of 95  
weight % germanium dioxide and 5 weight % lead  
oxide was melted and drawn into hollow fibres of  
0.5 mm internal diameter, and the spectral trans-  
mission characteristics for the fibre were  
measured at wavelengths in the mid infra-red  
region. The fibre was then heated to a tempera-  
ture of about 700 °C in a furnace chamber for a  
period of about 5 minutes, in which time the fibre  
was devitrified and changed substantially com-  
pletely to a crystalline glass-ceramic state, and  
the spectral transmission characteristics of the  
fibre were remeasured. The results of these  
measurements are presented in Figure 5, which  
shows that in the case of the devitrified glass fibre  
a marked increase in transmission is achieved in  
the region of the CO<sub>2</sub> laser wavelength.

Finally, as mentioned earlier, it is also within  
the scope of the invention to improve the trans-  
mission of a hollow optical fibre waveguide made  
of a germanium dioxide based glass by forming a  
thin layer of germanium at the inner surface of the  
waveguide, either with or without devitrification  
of the glass. The feasibility of forming the ger-  
manium layer by reducing the germanium dioxide  
at the surface of the fibre has been demonstrated  
by heating a piece of pure germanium dioxide  
glass to a temperature of 650 °C in an atmosphere  
comprising 90 % nitrogen and 10 % hydrogen. A  
thin germanium layer at the surface of the glass  
was formed relatively quickly, the thickness of the  
layer being controllable by the time and tempera-  
ture of the treatment.

An additional advantage which is obtained by  
forming a laser transmitting hollow optical GeO<sub>2</sub>  
glass fibre with a thin germanium surface layer is  
that the layer is sufficiently electrically conducting  
for it to be incorporated in an electrical safety  
device designed to shut off the laser in the event  
of fibre failure.

#### Claims

1. A hollow optical fibre waveguide made from  
a germanium dioxide based glass, characterised  
in that at least the inner surface of the hollow

glass fibre has been devitrified and/or provided  
with a thin germanium lining.

2. A method of treating a hollow optical fibre  
waveguide mad from a germanium dioxide  
based glass so as to reduce th transmission loss  
of the fibre at a predetermined wavelength,  
characterised in that the fibre is heated so that at  
least the inner surface of the hollow fibre is  
devitrified.

3. A method according to claim 2, in which the  
fibre is heated at a temperature between 400 and  
1 300 °C for a period up to 48 hours.

4. A method according to claim 2 or claim 3, in  
which at least the inner surface of the hollow fibre  
is also exposed to a reducing atmosphere so that  
a thin germanium lining is formed at the inner  
surface.

5. A method of treating a hollow optical fibre  
waveguide made from a germanium dioxide  
based glass so as to reduce the transmission loss  
of the fibre at a predetermined wavelength,  
characterised in that the fibre is heated while  
exposing at least the inner surface of the hollow  
fibre to a reducing atmosphere so that a thin  
lining of germanium is formed at the inner sur-  
face.

6. A method according to Claim 4 or Claim 5, in  
which the reducing atmosphere contains hydro-  
gen.

7. A method according to Claim 6, in which the  
reducing atmosphere comprises 90 vol % nitro-  
gen and 10 vol % hydrogen.

8. A method according to any one of Claims 4  
to 7, in which the reducing atmosphere is pumped  
through the core of the hollow fibre.

9. A method according to any one of Claims 4  
to 8, in which the fibre is heated to a temperature  
between 400 and 850 °C.

10. A method according to Claim 9, in which  
the fibre is heated to a temperature of 650 °C.

11. A method according to any one of Claims 4  
to 10, in which the thickness of the germanium  
lining is of the order of 0.5 microns.

12. A method according to any one of Claims 2  
to 11, in which the fibre is heated so that the  
whole of the hollow glass fibre is devitrified.

13. A method according to any one of Claims 2  
to 12, in which the composition of the germanium  
dioxide based glass comprises not less than 80  
wt. % germanium dioxide and not more than 20  
wt. % lead oxide.

14. A method according to Claim 13, in which  
the composition of the glass comprises from 90 to  
95 wt. % germanium dioxide and from 5 to 10 wt.  
% lead oxide.

15. A method according to any one of Claims 2  
to 14, in which the composition of the germanium  
dioxide based glass includes at least one glass  
modifying and/or nucleating agent which prom-  
otes devitrification of the glass and which is  
selected from the oxides of lead, titanium, phos-  
phorus, cerium, zinc, lithium, sodium, potassium,  
calcium, zirconium, barium, aluminium, mag-  
nesium, antimony, bismuth, and arsenic.

## Patentansprüche

1. Hohler optischer Faser-Wellenleiter, der aus einem Glas auf Germaniumdioxidbasis hergestellt ist, dadurch gekennzeichnet, daß wenigstens die Innenfläche der hohlen Glasfaser entglast und/oder mit einer dünnen Germaniumauskleidung versehen ist.

2. Verfahren zur Behandlung eines hohlen optischen Faser-Wellenleiters aus einem Glas auf Germaniumdioxidbasis, um den Übertragungsverlust der Faser bei einer vorgegebenen Wellenlänge zu verringern, dadurch gekennzeichnet, daß die Faser erwärmt wird, sodaß wenigstens die Innenfläche der hohlen Faser entglast wird.

3. Verfahren nach Anspruch 2, worin die Faser auf eine Temperatur während eines Zeitraums von bis zu 48 Stunden auf eine Temperatur von 400 bis 1 300 °C erwärmt wird.

4. Verfahren nach Anspruch 2 oder 3, worin wenigstens die Innenfläche der hohlen Faser auch einer reduzierenden Atmosphäre ausgesetzt wird, sodaß eine dünne Germaniumauskleidung an der Innenfläche ausgebildet wird.

5. Verfahren zur Behandlung eines hohlen optischen Faser-Wellenleiters, der aus einem Glas auf Germaniumdioxidbasis hergestellt ist, um den Übertragungsverlust der Faser bei einer vorgegebenen Wellenlänge zu verringern, dadurch gekennzeichnet, daß die Faser erwärmt wird, während wenigstens die Innenfläche der hohlen Faser einer reduzierenden Atmosphäre ausgesetzt wird, sodaß eine dünne Germaniumschicht an der Innenfläche gebildet wird.

6. Verfahren nach Anspruch 4 oder 5, worin die reduzierende Atmosphäre Wasserstoff enthält.

7. Verfahren nach Anspruch 6, worin die reduzierende Atmosphäre 90 Vol. % Stickstoff und 10 Vol.-% Wasserstoff enthält.

8. Verfahren nach einem der Ansprüche 4 bis 7, worin die reduzierende Atmosphäre durch den Kern der hohlen Faser gepumpt wird.

9. Verfahren nach einem der Ansprüche 4 bis 8, worin die Fasern auf eine Temperatur zwischen 400 und 850 °C erwärmt wird.

10. Verfahren nach Anspruch 9, worin die Faser auf eine Temperatur von 650 °C erwärmt wird.

11. Verfahren nach einem der Ansprüche 4 bis 10, worin die Dicke der Germaniumschicht in der Größenordnung von 0,5 µm liegt.

12. Verfahren nach einem der Ansprüche 2 bis 11, worin die Faser so erwärmt wird, daß die gesamte hohle Glasfaser entglast wird.

13. Verfahren nach einem der Ansprüche 2 bis 12, worin die Zusammensetzung des Glases auf Germaniumdioxidbasis nicht weniger als 80 Gew.-% Germaniumdioxid und nicht mehr als 20 Gew.-% Bleioxid umfaßt.

14. Verfahren nach Anspruch 13, worin die Zusammensetzung des Glases 90 bis 95 Gew.-% Germaniumdioxid und 5 bis 10 Gew.-% Bleioxid umfaßt.

15. Verfahren nach einem der Ansprüche 2 bis 14, worin die Zusammensetzung des Glases auf

Germaniumdioxidbasis wenigstens ein Glasmodifizierungs -und/oder Kernbildungsmittel umfaßt, das die Entglasung des Glases fördert und aus den Oxiden von Blei, Titan, Phosphor, Cer, Zink, Lithium, Natrium, Kalium, Calcium, Zirkon, Barium, Aluminium, Magnesium, Antimon, Wismut und Arsen gewählt ist.

## Revendications

1. Guide d'ondes à fibre optique creuse fait à partir d'un verre à base de dioxyde de germanium, caractérisé en ce que au moins la surface interne de la fibre de verre creuse a été dévitrifiée et/ou munie d'un revêtement de germanium mince.

2. Procédé pour traiter un guide d'ondes à fibre optique creuse fait à partir d'un verre à base de dioxyde de germanium afin de réduire la perte de transmission de la fibre à une longueur d'onde prédéterminée, caractérisé en ce que la fibre est chauffée afin qu'au moins la surface interne de la fibre creuse soit dévitrifiée.

3. Procédé selon la revendication 2, dans lequel la fibre est chauffée à une température entre 400 et 1 300 °C pendant une période jusqu'à 48 heures.

4. Procédé selon la revendication 2 ou la revendication 3, dans lequel au moins la surface interne de la fibre creuse est également exposée à une atmosphère réductrice afin qu'un revêtement de germanium mince soit formé à la surface interne.

5. Procédé de traitement d'un guide d'ondes à fibre optique creuse fait à partir d'un verre à base de dioxyde de germanium, afin de réduire la perte de transmission de la fibre à une longueur d'onde prédéterminée, caractérisé en ce que la fibre est chauffée tout en exposant au moins la surface interne de la fibre creuse à une atmosphère réductrice afin qu'un revêtement mince de germanium soit formé à la surface interne.

6. Procédé selon la revendication 4 ou la revendication 5, dans lequel l'atmosphère réductrice contient de l'hydrogène.

7. Procédé selon la revendication 6, dans lequel l'atmosphère réductrice comprend 90 % en volume d'azote et 10 % en volume d'hydrogène.

8. Procédé selon l'une quelconque des revendications 4 à 7, dans lequel l'atmosphère réductrice est pompée à travers le centre de la fibre creuse.

9. Procédé selon l'une quelconque des revendications 4 à 8, dans lequel la fibre est chauffée à une température entre 400 et 800 °C.

10. Procédé selon la revendication 9, dans lequel la fibre est chauffée à une température de 650 °C.

11. Procédé selon l'une quelconque des revendications 4 à 10, dans lequel l'épaisseur du revêtement de germanium est de l'ordre de 0,5 microns.

12. Procédé selon l'une quelconque des revendications 2 à 11, dans lequel la fibre est chauffée afin que l'entier de la fibre de verre creuse soit dévitrifiée.

13. Procédé selon l'une quelconque des revendications 2 à 12, dans lequel la composition du verre à base de dioxyde de germanium comprend pas moins que 80 % en poids de dioxyde de germanium et pas plus que 20 % en poids d'oxyde de plomb.

14. Procédé selon la revendication 13, dans lequel la composition du verre comprend de 90 à 95 % en poids de dioxyde de germanium et de 5 à 10 % en poids d'oxyde de plomb.

15. Procédé selon l'une quelconque des revendications 2 à 14, dans lequel la composition du verre à base de dioxyde de germanium comprend au moins un agent de nucléation et/ou modifiant du verre qui favorise la dévitrification du verre et qui est choisi parmi les oxydes de plomb, titane, phosphore, cérium, zinc, lithium, sodium, potassium, calcium, zirconium, baryum, aluminium, magnésium, antimoine, bismuth, et arsenic.

5

10

15

20

25

30

35

40

45

50

55

60

65

6

Fig.1.

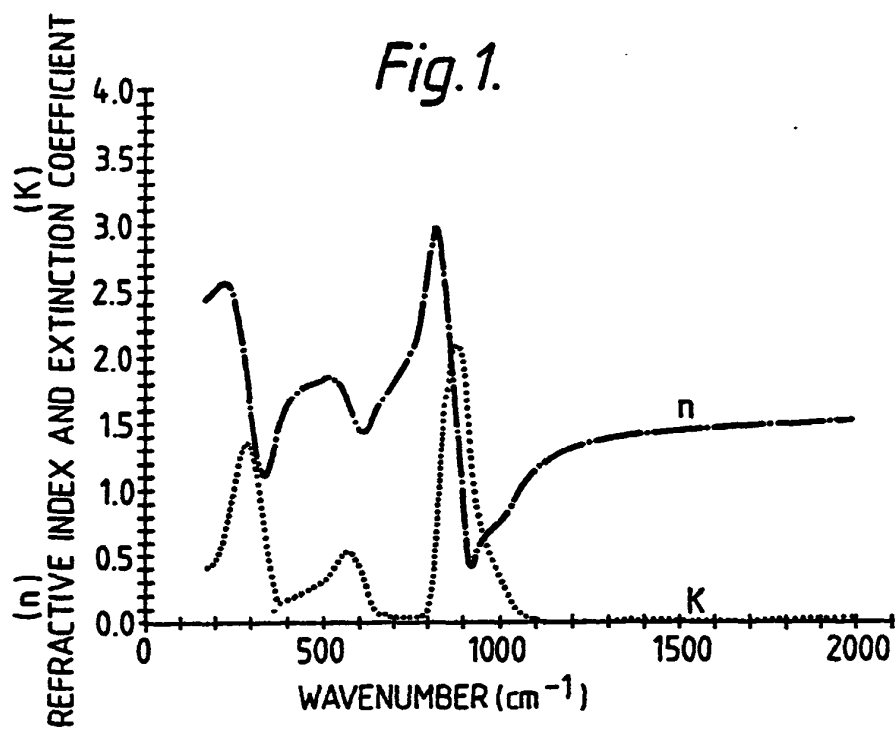
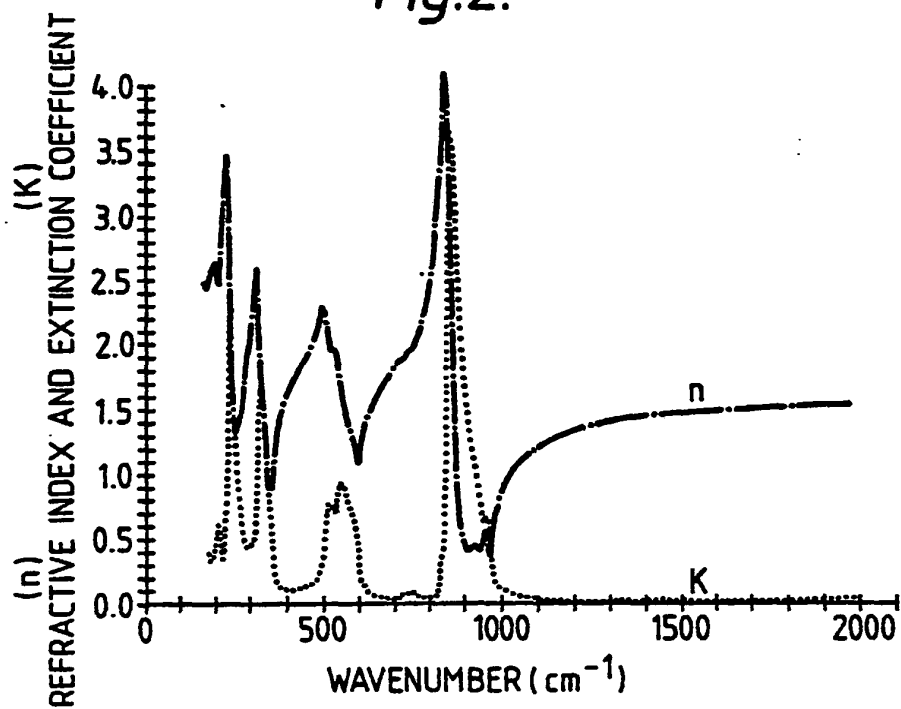
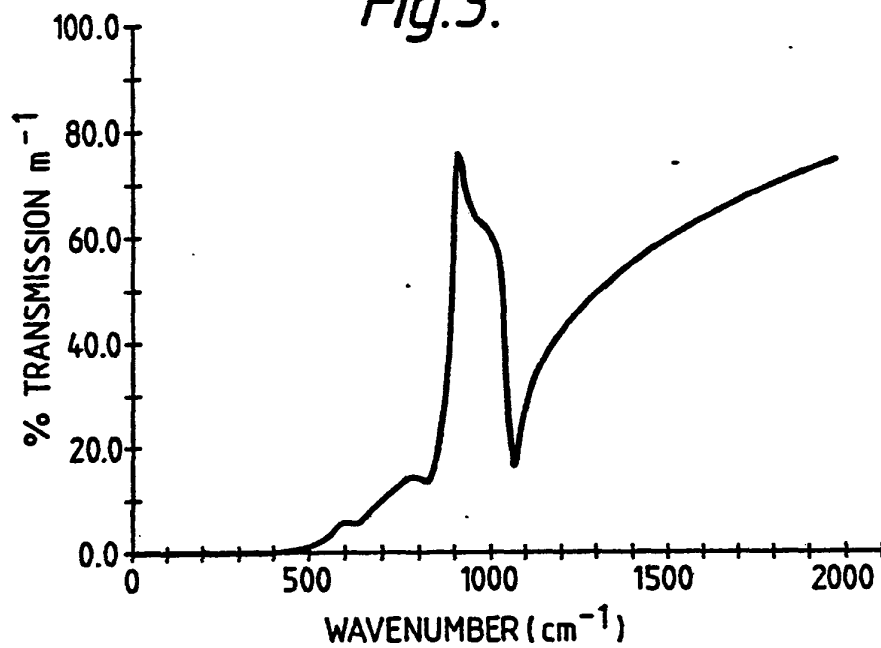
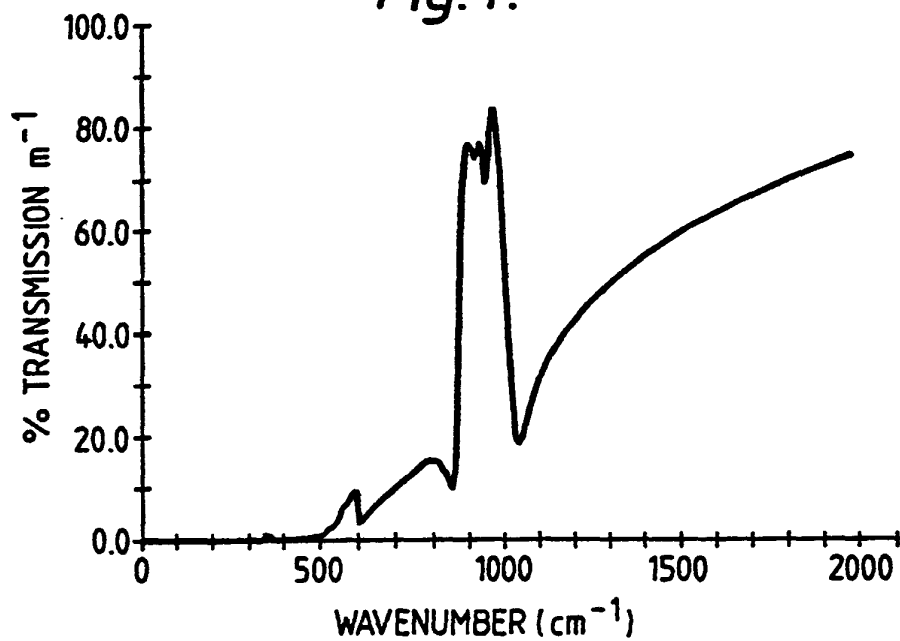


Fig.2.



*Fig.3.**Fig.4.*



*Fig.5.*